



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the application of

Mari HARA et al.

Serial No. 10/629,865

Filed July 30, 2003

PROCESS FOR PRODUCING (3R,  
5S)-(E)-7-[2-CYCLOPROPYL-4-(4-  
FLUOROPHENYL)-QUINOLIN-3YL]-3,  
5-DIHYDROXYHEPT-6-ENIC ACID ESTERS

: Confirmation No. 7365

: Docket No. 2003-1035A

: Group Art Unit 1626

:

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b.  This Information Disclosure Statement is submitted  
after the events of above paragraph 1a and prior to the mailing date of a final Office  
Action or a Notice of Allowance or an action which otherwise closes prosecution in the  
application, and thus:  
(1)  the certification of paragraph 2 below is provided, **or**  
(2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:  
after the mailing date of a final Office Action or Notice of Allowance or action which  
otherwise closes prosecution in the application, and prior to payment of the issue fee, and  
thus:  
**the certification of paragraph 2 below is provided, and**  
**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement  
was first cited in any communication from a foreign patent office in a counterpart  
foreign application not more than three months prior to the filing of the  
Statement, or
- b.  that no item of information contained in the Information Disclosure Statement  
was cited in a communication from a foreign patent office in a counterpart  
foreign application and, to the knowledge of the person signing the certification  
after making reasonable inquiry, was known to any individual designated in  
§1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or  
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

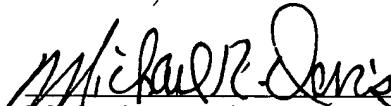
- [X] a full or partial English language translation submitted herewith,
- [ ] a foreign patent office search report (in the English language) submitted herewith,
- [ ] the concise explanation contained in the specification of the present application at page,
- [X] the concise explanation set forth in the attached English language abstract,
- [ ] the concise explanation set forth below or on a separate sheet attached to the reference:

5. [ ] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mari HARA et al.

By:



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November 4, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: November 4, 2003

ATTY DOCKET NO.  
2003-1035ASERIAL NO.  
10/629,865APPLICANT  
Mari HARA et al.FILING DATE  
July 30, 2003GROUP  
1626

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AI	5-178841	7/1993	Japan			
	AJ	5-308977	11/1993	Japan			
	AK	11-507204	6/1999	Japan			
	AL						
	AM						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AN	A. Shafiee et al., "Purification, characterization and immobilization of an NADPH-dependent enzyme involved in the chiral specific reduction of the keto ester M, an intermediate in the synthesis of an anti-asthma drug, Montelukast, from <i>Microbacterium campoquemadoensis</i> (MB5614)", <i>Appl. Microbiol Biotechnol</i> , 49, pages 709-717, 1998.
AO	Biswanath Das et al., "The First Conversion of Camptothecin To (S)-Mappicine By An Efficient Chemoenzymatic Method", <i>Bioorganic &amp; Medicinal Chemistry Letters</i> , 8, pages 1403-1406, 1998.
AP	Ramesh N. Patel et al., "Enantioselective microbial reduction of 3,5-dioxo-6-(benzyloxy) hexanoic acid, ethyl ester", <i>Enzyme Microb. Technol.</i> , vol. 15, pages 1014-1021, 1993.

EXAMINER

DATE CONSIDERED